

# Sandlapper Wealth Management, LLC

## Options Information and Agreement

Complete all sections and sign if you intend to use option strategies.

Account # (s):

Account Title:

**Options Account Information.** Complete as requested below if you intend to effect transactions in options. Sandlapper Wealth Management, LLC may, on the basis of the information provided, decline to accept any account for option activity or may limit such account to specific activities

### I. Complete for Individual/Joint Accounts

#### 1. Primary Account Holder Information

Account Holder Name

Approximate Annual Income

If Married, Spouses Approx. Annual Income

Approximate Net Worth

Approximate Liquid Net Worth

Age

# of Dependents

Marital Status

Employer

Years Employed

Other Investments:

Real Estate

Private Placements

Other:

#### 1. Secondary Account Holder Information, if applicable

Account Holder Name

Approximate Annual Income

If Married, Spouses Approx. Annual Income

Approximate Net Worth

Approximate Liquid Net Worth

Age

# of Dependents

Marital Status

Employer

Years Employed

Other Investments:

Real Estate

Private Placements

Other:

### II. Complete for Trust/Entity Accounts:

Financial Profile of Entity/Trust:

Approximate Annual Income

Approximate Net Worth/ Equity

### III. Complete for all account types:

Past Investment Experience for Authorized Person(s)

#### Activity

Stocks/Bonds:

# of years

Limited

Moderate

Extensive

Options:

# of years

Limited

Moderate

Extensive

Commodities:

# of years

Limited

Moderate

Extensive

#### Options Strategies Requested:

(Select one or more levels):

I.  Write covered calls, write cash-secured puts

II.  Purchase options, write covered calls, write cash secured-puts

III.  Create spreads, purchase options, write covered Calls, write cash-secured puts. **(Margin) (Speculative)**

IV.  Write uncovered options, create spreads, purchase options, write covered calls, write cash-secured puts **(Margin) (Speculative)**

**Options Investment Objectives:**  **Income**  **Growth**  **Growth & Income**  **Trading**  **Speculation**

Investors should not purchase put or call options unless they are able to sustain total loss of the premium and transaction costs, or write uncovered options unless they are able to sustain substantial financial loss.

By signing this agreement, you acknowledge and certify that all background information and financial data provided on this document is accurate and you are aware that the information and data will be relied upon to service your account(s).

X

Signature:

Date

X

Signature:

Date

(Typed or Printed Name)

(Typed or Printed Name)

RR Signature

Date

Options Principal Approval

Date

Date Disclosure Documents sent

Option Strategies Approved:

I.

II.

III.

IV.