



Sandlapper Wealth Management, LLC Privacy Policy Our Privacy Principles

We, at Sandlapper Wealth Management, LLC, recognize the importance of respecting the privacy of our customers. Your customer information is carefully managed within our company and we want you to know the steps we take to protect the privacy of the customer information we collect.

This policy covers Customer Information. Customer Information means information personally identifiable with a specific customer, or about a customer's current, or former relationship with our company. We are providing this policy to you in accordance with the Federal Financial Privacy Law, 5 U.S.C. 6801-6810.

- I. We do not and will not ever sell customer information.
- II. We do not provide customer information to persons or entities outside of the Sandlapper Wealth Management, LLC ("SWM") affiliated companies who are doing business for their own marketing purposes.
- III. We afford prospective customers and former customers the same protections as existing customers in regard to the use of personal information.
- IV. We never share any information with any entity or other program co-owners/investors without your consent outside of what is a matter of public record.

I. Information Gathering

Due to the nature of our investment and service programs we must obtain non-public personal information about you which may include the following:

- Information on client new account forms, applications, questionnaires, or other forms including name, address, social security number, assets and income
- Credit and background authorizations as required by lending agencies
- Credit worthiness, credit history and other information
- Other information as deemed necessary under the Patriot act and Sarbanes-Oxley

II. Information That We Share

We use or share information in a limited and carefully controlled manner. We use or share your information in order to identify and protect you against fraud, enable us to complete transactions quickly and efficiently, determine the right services to meet your needs and to provide you with proper customer service. Examples of customer information that we may share include:

- Information required by lending and/or regulatory agencies as part of the overall investment process

- Information we receive from you, such as your name, address, social security number, assets, and income, as provided, for example, on account applications
- Information we receive from affiliates, credit reporting agencies and other third parties not affiliated with us, such as your creditworthiness and credit history

Depending on the type of relationship you have with Sandlapper, we may share information with other Sandlapper affiliates, as well as with unaffiliated third parties as set forth below:

A. Sharing Information with SWM Affiliates

- We share information about you with other SWM affiliates, as permitted by law, in order to provide you with proper investor relations and meet mandated regulatory reporting requirements.

B. Sharing Information with Third Parties Not Affiliated With SWM

- We disclose information with unaffiliated third parties in limited circumstances. Please be assured that whatever the reason for sharing your personal information, we take steps to assure that those parties respect your privacy by limiting the use of the information to the purpose for which it was disclosed. These unaffiliated third parties may include:
 - Companies that perform services for your account that we do not provide ourselves
 - Companies that will help protect your account from fraud
 - Companies that provide services necessary to effect a transaction that you request or to service your account
 - Government agencies, courts, parties to lawsuits, regulators in response to subpoenas or otherwise as required by law. In such cases, we share only the information that we are required or authorized to share.

III. Confidentiality and Security

The security of your account information is important to us. Only those persons who need your information to perform their job have access to it. In addition, we maintain physical, electronic and procedural security measures that comply with federal regulations to protect your information.

Our employees have limited access to your personal information based upon their responsibilities. All employees are instructed to protect the confidentiality of your personal information as described in these policies, which are strictly enforced.

IV. Former Customers

If you end your relationship with SWM, we will continue to adhere to the policies and practices described in this notice.

At Sandlapper Wealth Management, LLC maintaining our investors' trust and confidence is our top priority. We have made a conscience effort and commitment to safeguarding your personal information and providing you with facts and options about how this information could be shared.

OPT OUT NOTICE

Please complete this form **only if you do not want us to share your information with our affiliates**, and return it to:

**Sandlapper Wealth Management, LLC
800 E. North Street, 2nd Floor
Greenville, SC 29601**

First Name

Last Name

Street Address

City, State, Zip Code

Social Security Number

Brokerage Account Number

Brokerage Account Number

Signature

Date